PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | Attorn | Attorney Docket No. NUM-0157 | | | | | | | |
|---|--|---|--|----------------|-------------------|--------------------------|---------------|--|--|
| UTILITY | First II | nventor | Kazut | o Kobay | ashi | | | | |
| PATENT APPLICATION | | | | | | | | | |
| TRANSMITTAL | Title | tle EXPANSION VALVE | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Expre | Express Mail Label No. | | | | | 0 | | |
| | | MS Patent Application | | | | | | | |
| APPLICATION ELEMENTS | | ADDR | ESS TO | | | er for Patents | 129 P | | |
| See MPEP chapter 600 concerning utility patent application contents. | | | P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | | |
| 2. Applicant claims small entity status. See 37 CFR 1.27. | | | leotide an | | quence Submission | 10 | | | |
| 3. X Specification [Total Pages 22 | 2 1 | a. [| | iter Readabl | | (CRF) | 15 | | |
| (preferred arrangement set forth below) | | b. S | | n Sequence | | | | | |
| Descriptive title of the Invention Cross Reference to Related Applications | | | ` | • | • | _ | Paper | | |
| Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, | | i. CD-ROM or CD-R (2 copies); or c. Statements verifying identity of above copie | | | | | | | |
| or a computer program listing appendix - Background of the Invention | | c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS | | | | | | | |
| Brief Summary of the Invention Brief Description of the Drawings (if filed) | | 9. X | | | | heet & document(s)) | | | |
| Detailed Description Claim(s) | | 10. | | .73(b) State | | Power of | | | |
| - Abstract of the Disclosure | | (when there is an assignee) Attorney | | | | | | | |
| 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets] | 9 1 | 11. | | | | nt (if applicable) | | | |
| 5. Oath or Declaration [Total Sheets | 4] | 1 12. X Information Disclosure X Copies of IDS Statement (IDS)/PTO-1449 X Citations | | | | | | | |
| a. X Newly executed (original or copy) | 13. X Preliminary Amendment | | | | | | | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 14. X Return Receipt Postcard (MPEP 503) | | | | | | | |
| DELETION OF MA (ENTORIO) | | | (Should be specifically itemized) 15. Certified Copy of Priority Document(s) | | | | | | |
| i. <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, | | | (if foreign priority is claimed) | | | | | | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | Applicant must attach form PTO/SB/35 or its equivalent. | | | | | | | | |
| l | 17. | Other: | | | | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, following the title, or in an Application Data Sheet under 37 CFR | | ly the requis | site inform | ation below a | and in t | he first sentence of the | specification | | |
| Continuation Divisional Continuation | | CIP) of pr | ior applica | tion No.: | | | | | |
| Prior application information: Examiner | | | | – Art Unit: | | | | | |
| For CONTINUATION or DIVISIONAL APPS only: The entire | disclosur | e of the prio | r applicati | on, from whi | ich an o | ath or declaration is su | pplied | | |
| under Box 5b, is considered a part of the disclosure of the accorderence. The incorporation can only be relied upon when a poly | | | | | | | | | |
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| | | 23353 | | | | | | | |
| X Customer Number or Bar Code Label | | 20000 | | | or X | Correspondence addre | ess below | | |
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| | ephone | (202) 9 |) | Fax | (202) 955-375 | 1 | | | |
| Name (Print/Type) Carl Schaukowitch Registration No. (Attorney/Agent) 29,211 | | | | | | | | | |
| Signature | | | - · · · | | Ť | lune 23, 2003 | | | |

PTO/SB/17 (05-03)
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| FEE TO ANOMITTAL | | Complete if Known | | | | | | | | |
|---|---------------|--|--------|---------------|---|-----------------------|--|--|--|--|
| FEE TRANSMITTAL | | Applic | cation | Numb | er Not Yet Assigned | | | | | |
| for FY 2003 | | Filing Date | | | Concurrently Herewith | Concurrently Herewith | | | | |
| 1 | | First I | Named | d Inver | ntor Kazuto Kobayashi | | | | | |
| Effective 01/01/2003, Patent fees are subject to annual revision. | Examiner Name | | | | Not Yet Assigned | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | Art Ur | nit | | N/A | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1030 | | Attorney Docket No. NUM-0157 | | | | | | | | |
| METHOD OF PAYMENT (check all that apply) | T = = | FEE CALCULATION (continued) | | | | | | | | |
| Check Credit Money Other None X Deposit Account | 3. A | | | | | | | | | |
| Deposit Account 18-0013 | Fee | Entity Fee | Fee | Entity Fee | - Fee Description | | | | | |
| Number | Code | (\$) | Code | (\$) | ree Description | Fee Paid | | | | |
| Deposit Account Rader, Fishman & Grauer PLLC | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | | | |
| Name The Director is hereby authorized to: (check all that apply) | 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cov sheet. | er | | | | |
| X Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 1053 | 130 | Non-English specification | | | | | |
| Charge any additional fee(s) during the pendency of this application | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | | | | |
| | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | | | | |
| to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | | | | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | | | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | | | | | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for reply within third month | | | | | |
| Fee Fee Fee Fee Description Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | | | | |
| Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | | | | |
| 1002 330 2002 165 Design filling fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | } | | | | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | | | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | | | | |
| SUBTOTAL (1) (\$) 750.00 | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | | | | | |
| SUBTOTAL (1) (\$) 750.00 | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | | | | | |
| Extra Fee from Claims below Fee Paid | 1502 | 470 | 2502 | 235 | Design issue fee | | | | | |
| Total Claims 18 -20** = x = 0.00 | 1503 | 630 | 2503 | 315 | Plant issue fee | | | | | |
| Independent 2 -3** = x = 0.00 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | | | |
| Claims 280,00 = 280,00 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | | | | |
| | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per | | | | | |
| Code (\$) Code (\$) Fee Description | 0021 | | | | property (times number of properties) Filing a submission after final rejection | | | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 750 | 2809 | 375 | (37 ČFR 1.129(a)) | | | | | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37CFR 1.129(b)) | | | | | |
| 1204 84 2204 42 ** Reissue independent claims over original patent | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | | | |
| and over original patent | Other | fee (spec | cify) | | | | | | | |
| SUBTOTAL (2) (\$) 280.00 | *Redu | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) | | | | | | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | | | | | | | |
| SUBMITTED BY | Regist | ration Ma | · T- | | Complete (if applicable) | | | | | |
| Name (Print/Type) Carl Schaukowitch Registration No. (Attorney/Agent) 29,211 | | | | | Telephone (202) 955-375 | 60 | | | | |
| Signature Call Sch | | | | | Date June 23, 2003 | 3 | | | | |